



6P 3714 JFW

PTO/SB/21 (09-04)

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages In This Submission

73

Application Number

10/044,478

Filing Date

January 10, 2002

First Named Inventor

Clifton Lind

Art Unit

3714

Examiner Name

Mark Alan Sager

Attorney Docket Number

988.1006

## ENCLOSURES (Check all that apply)



Fee Transmittal Form?



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)



Reply to Missing Parts/  
Incomplete Application



Reply to Missing Parts  
under 37 CFR 1.52 or 1.53



Drawing(s)



Licensing-related Papers



Petition



Petition to Convert to a  
Provisional Application



Power of Attorney, Revocation



Change of Correspondence Address



Terminal Disclaimer



Request for Refund



CD, Number of CD(s) \_\_\_\_\_

☐ Landscape Table on CD



After Allowance Communication to TC



Appeal Communication to Board  
of Appeals and Interferences



Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)



Proprietary Information



Status Letter



Other Enclosure(s) (please identify  
below):

Credit Card Payment Form (PTO-2038); (2)  
References; Form 1449; and Postcard

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

The Culbertson Group, P.C.

Signature

Printed name

Russell D. Culbertson

Date

12 Oct. 2004

Reg. No.

32,124

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Signature

Typed or printed name

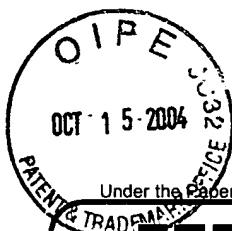
Russell D. Culbertson

Date

12 Oct 2004

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# FEE TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 180.00

## Complete if Known

|                      |                  |
|----------------------|------------------|
| Application Number   | 10/044,478       |
| Filing Date          | January 10, 2002 |
| First Named Inventor | Clifton Lind     |
| Examiner Name        | Mark Alan Sager  |
| Art Unit             | 3714             |
| Attorney Docket No.  | 988.1006         |

## METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 50-3227

Deposit Account Name The Culbertson Group, PC

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid   |
|--------------|----------|--------------|----------|------------------------|------------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |            |
| 1001         | 790      | 2001         | 395      | Utility filing fee     |            |
| 1002         | 350      | 2002         | 175      | Design filing fee      |            |
| 1003         | 550      | 2003         | 275      | Plant filing fee       |            |
| 1004         | 790      | 2004         | 395      | Reissue filing fee     |            |
| 1005         | 160      | 2005         | 80       | Provisional filing fee |            |
| SUBTOTAL (1) |          |              |          |                        | (\$ ) 0.00 |

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

|                    |                      | Extra Claims |                      | Fee from below |                      | Fee Paid             |
|--------------------|----------------------|--------------|----------------------|----------------|----------------------|----------------------|
| Total Claims       | <input type="text"/> | -20** =      | <input type="text"/> | X              | <input type="text"/> | <input type="text"/> |
| Independent Claims | <input type="text"/> | -3** =       | <input type="text"/> | X              | <input type="text"/> | <input type="text"/> |
| Multiple Dependent |                      |              |                      |                | <input type="text"/> | <input type="text"/> |

| Large Entity |          | Small Entity |          | Fee Description  | Fee Paid   |
|--------------|----------|--------------|----------|--|------------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |            |
| 1202         | 18       | 2202         | 9        | Claims in excess of 20                                     |            |
| 1201         | 88       | 2201         | 44       | Independent claims in excess of 3                          |            |
| 1203         | 300      | 2203         | 150      | Multiple dependent claim, if not paid                      |            |
| 1204         | 88       | 2204         | 44       | ** Reissue independent claims over original patent         |            |
| 1205         | 18       | 2205         | 9        | ** Reissue claims in excess of 20 and over original patent |            |
| SUBTOTAL (2) |          |              |          |  | (\$ ) 0.00 |

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity |          | Small Entity |          | Fee Description  | Fee Paid |
|--------------|----------|--------------|----------|--|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 1051         | 130      | 2051         | 65       | Surcharge - late filing fee or oath  |          |
| 1052         | 50       | 2052         | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053         | 130      | 1053         | 130      | Non-English specification  |          |
| 1812         | 2,520    | 1812         | 2,520    | For filing a request for ex parte reexamination                            |          |
| 1804         | 920*     | 1804         | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805         | 1,840*   | 1805         | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251         | 110      | 2251         | 55       | Extension for reply within first month                                     |          |
| 1252         | 430      | 2252         | 215      | Extension for reply within second month                                    |          |
| 1253         | 980      | 2253         | 490      | Extension for reply within third month                                     |          |
| 1254         | 1,530    | 2254         | 765      | Extension for reply within fourth month                                    |          |
| 1255         | 2,080    | 2255         | 1,040    | Extension for reply within fifth month                                     |          |
| 1401         | 340      | 2401         | 170      | Notice of Appeal   |          |
| 1402         | 340      | 2402         | 170      | Filing a brief in support of an appeal                                     |          |
| 1403         | 300      | 2403         | 150      | Request for oral hearing   |          |
| 1451         | 1,510    | 1451         | 1,510    | Petition to institute a public use proceeding                              |          |
| 1452         | 110      | 2452         | 55       | Petition to revive - unavoidable   |          |
| 1453         | 1,330    | 2453         | 665      | Petition to revive - unintentional   |          |
| 1501         | 1,370    | 2501         | 685      | Utility issue fee (or reissue)   |          |
| 1502         | 490      | 2502         | 245      | Design issue fee   |          |
| 1503         | 660      | 2503         | 330      | Plant issue fee  |          |
| 1460         | 130      | 1460         | 130      | Petitions to the Commissioner  |          |
| 1807         | 50       | 1807         | 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 1806         | 180      | 1806         | 180      | Submission of Information Disclosure Stmt                                  | 180.00   |
| 8021         | 40       | 8021         | 40       | Recording each patent assignment per property (times number of properties) |          |
| 1809         | 790      | 2809         | 395      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810         | 790      | 2810         | 395      | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 1801         | 790      | 2801         | 395      | Request for Continued Examination (RCE)                                    |          |
| 1802         | 900      | 1802         | 900      | Request for expedited examination of a design application                  |          |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ )

## SUBMITTED BY

|                   |                       |                                   |             |           |              |
|-------------------|-----------------------|-----------------------------------|-------------|-----------|--------------|
| Name (Print/Type) | Russell D. Culbertson | Registration No. (Attorney/Agent) | 32,124      | Telephone | 512.327.8932 |
| Signature         |                       | Date                              | 12 Oct 2004 |           |              |

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PATENT  
988.1006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                                |   |                           |
|--------------------------------|---|---------------------------|
| In Re Application of:          | ) |                           |
| Clifton Lind et al.            | ) |                           |
|                                | ) |                           |
| Serial No.: 10/044,478         | ) | Group Art Unit: 3714      |
|                                | ) |                           |
| Filed: January 10, 2002        | ) | Examiner: Mark Alan Sager |
|                                | ) |                           |
| FOR: DISTRIBUTED ACCOUNT BASED | ) |                           |
| <u>GAMING SYSTEM</u>           | ) |                           |

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §1.56, the references listed on the attached form PTO/SB/08B are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of the cited documents are enclosed.

This information is being submitted subsequent to the later of three months after the filing date of the present application or the mailing of the first Office action on the merits, but before the mailing of a final action or the notice of allowance. Accordingly,

1. \_\_\_ The undersigned hereby certifies:
  - \_\_\_ that each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
  - \_\_\_ that to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in this statement was known to any individual designed in 37 C.F.R. §1.56(c) more than three months prior to the filing of this statement.

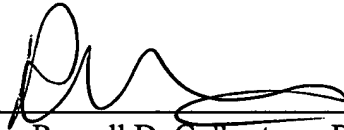
2.     A photocopy of the foreign patent office document citing each item contained in this statement is enclosed pursuant to MPEP §609.
3.   X   A Credit Card Payment Form (PTO-2038) authorizing a charge in the amount of \$180.00 is enclosed for the fee under 37 C.F.R. § 1.17(p).

It is respectfully requested that the Examiner indicate consideration of the cited references by initialing the attached form PTO/SB/08B and returning a copy of the initialed form. If any additional fee is required, or to credit any overpayment, please use deposit account No. 50-3227.

Respectfully submitted,

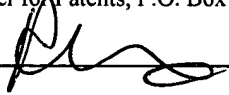
The Culbertson Group, P.C.

Date: 12 Oct 2004

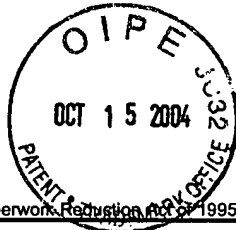
By:   
Russell D. Culbertson, Reg. No. 32,124  
Russell C. Scott, Reg. No. 43,103  
Trevor Lind, Reg. No. 54,785  
1114 Lost Creek Boulevard, Suite 420  
Austin, Texas 78746  
512-327-8932  
Attorneys for Applicant

CERTIFICATE OF MAILING

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Russell D. Culbertson, Reg. No. 32,124 

Date of Deposit: 12 Oct 2004



PTO/SB/08B (08-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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|   |   |                          |                  |                        |          |
|---|---|--------------------------|------------------|------------------------|----------|
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(Use as many sheets as necessary) |   | <b>Complete if Known</b> |                  |                        |          |
|   |   | Application Number       | 10/044,478       |                        |          |
|   |   | Filing Date              | January 10, 2002 |                        |          |
|   |   | First Named Inventor     | Clifton Lind     |                        |          |
|   |   | Art Unit                 | 3714             |                        |          |
|   |   | Examiner Name            | Mark Alan Sager  |                        |          |
| Sheet   | 1 | of                       | 1                | Attorney Docket Number | 988.1006 |

| NON PATENT LITERATURE DOCUMENTS |                          |   |                |
|---------------------------------|--------------------------|---|----------------|
| Examiner<br>Initials*           | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|                                 |                          | Appendix X to the State of Washington Class III Gaming Compact, Rules Governing Tribal Lottery Systems, State of Washington (November 13, 1998), 59 pages.  |                |
|                                 |                          | Washington State Gambling Commission, Multimedia Games IVLS 2000, Tribal Lottery System Certification of Compliance (June 1999); 8 pages  |                |
|                                 |                          |   |                |
|                                 |                          |   |                |
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|                                 |                          |   |                |
|                                 |                          |   |                |

|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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